August 29, 2001

Date

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/781.042 **Application Number** ANSMITTAL February 9, 2001 Filing Date **First Named Inventor** Lieu, Sun Ming be used for all corespondence after initial filing) **Group Art Unit** 2151 **Examiner Name** Unassigned MADEW otal Number of Pages in This Submission Attorney Docket Number 020004-000710US ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmiue! Form Group (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Response (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer Express Abandonment Request Seven (7) IDS References Request for Refund Copy of PCT Search Report Information Disclosure Statement CD, Number of CD(s) Return Postcard The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Suiit B. Kotwal Reg No. 43,336 Individual name 5.B. Kotwal Signature Date August 29, 2001 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: August 29, 2001 Typed or printed name Krista K. Merrimae

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PA 3167247 v1

Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SUN MING LIEU et al.

Application No.: 09/781,042

Filed: February 9, 2001

For: A TOKENS-BASED SYSTEM FOR

PROVIDING INFORMATION TO

USERS

Examiner: To

To Be Assigned

Art Unit:

2151

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO-SB08A are being called to the attention of the Examiner. The references were cited in a PCT International Search Report received for the PCT application corresponding to the above-referenced application. Copies of the references are enclosed. A copy of the Search Report is also enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and

SUN MING LIEU et al. Application No.: 09/781,042

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no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

S.B. Kotual

Sujit B. Kotwal Reg. No. 43,336

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 650-326-2400 Fax: 650-326-2422

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r form 1449A/PTO

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Application Number 09/781,042

Filing Date February 9, 2001

First Named Inventor Lieu, Sun Ming

Group Art Unit 2151

Examiner Name To Be Assigned

Attorney Docket Number 20004-000710US

			U.S. PATENT DOCU	MENTS		
Examiner Initials *	Cite No.1	Number Kind Code	U.S. Patent Document Mber Kind Code ² (if known) Name of Patentee or Applicant of Cited Document		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	5,842,178	Giovannoli	11-24-1998		
	2	6,014,638	Burge et al.	01-11-2000		
	3	6,058,417	Hess et al.	05-02-2000		
	4	6,108,639	Walker et al.	08-22-2000	~~ <u>~</u>	
	5	6,134,534	Walker, et al.	10-17-2000		
-	6	6,141,653	Conklin et al.	10-31-2000	× °60 €	
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Examiner Initials*	Cite No. ¹	Office ³	eign Patent Doo	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document			
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INFO	DRMATION	BS	CLOSURE	Filing Date	February 9, 2001		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				First Named Inventor	Lieu, Sun Ming		
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(use as many sheets as necessary)			necessary)	Examiner Name	To Be Assigned		
Sheet	2	of	2	Attorney Docket Number	20004-000710US		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
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